



PCT/GB 2003 / 0 0 4 4 0 6



INVESTOR IN PEOPLE

The Patent Office
Concept House
Cardiff Road
Newport
South Wales

NP10 8QQ

REC'D 17 NOV 2003

WIFO

PCT

BEST AVAILABLE COPY

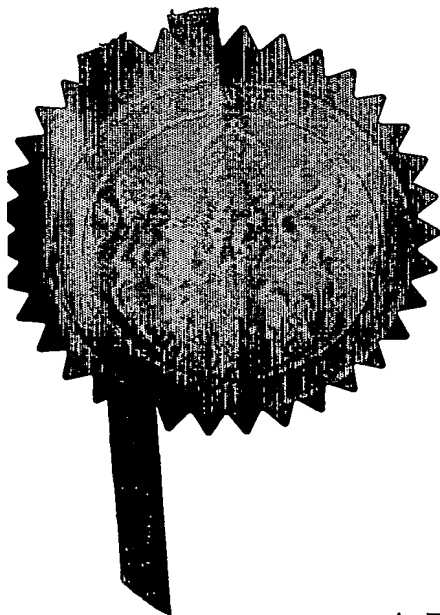
**PRIORITY
DOCUMENT**
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated 27 October 2003

Patents Form 1/77

Patents Act 1977
(Rule 16)100CT02 E754682-1 D10063
P01/7700 0.00-0223510.9

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)



The Patent Office

Cardiff Road
Newport
South Wales
NP10 8QQ

1. Your reference

CDT 346

2. Patent application number

(The Patent Office will fill in this part)

0223510.9

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Cambridge Display Technology Limited
Greenwich House
Madingley Rise
Madingley Road
Cambridge CB3 0TX

Patents ADP number (if you know it)

616644/006

If the applicant is a corporate body, give the country/state of its incorporation

United Kingdom

4. Title of the invention

OPTICAL DEVICE

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

IP Department
Cambridge Display Technology Limited
Greenwich House
Madingley Rise
Madingley Road
Cambridge
CB3 0TX

Patents ADP number (if you know it)

616644/007

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body.

See note (d))

YES

Patents Form 1/77

0049503 10-Oct-02 03:07

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 9

Claim(s) 3

Abstract .

Drawing(s) 2

10. If you are also filing any of the following, state how many against each item.

Priority documents -

Translations of priority documents -

Statement of inventorship and right to grant of a patent (Patents Form 7/77) -

Request for preliminary examination and search (Patents Form 8/77) 1

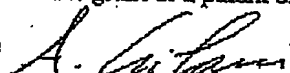
Request for substantive examination (Patents Form 10/77) 1

Any other documents
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature



Date

10th October 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

Anwar Gilani Tel: 01223 723519

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

0049503 10-Oct-02 03:07

Figure 1

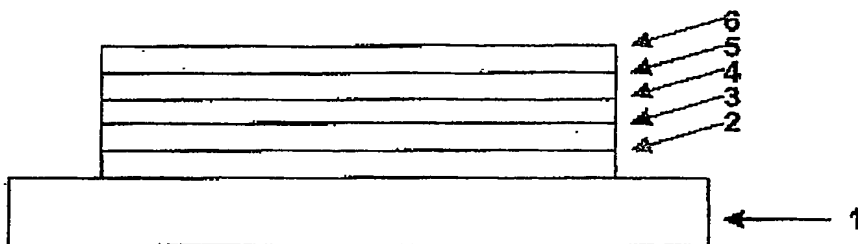
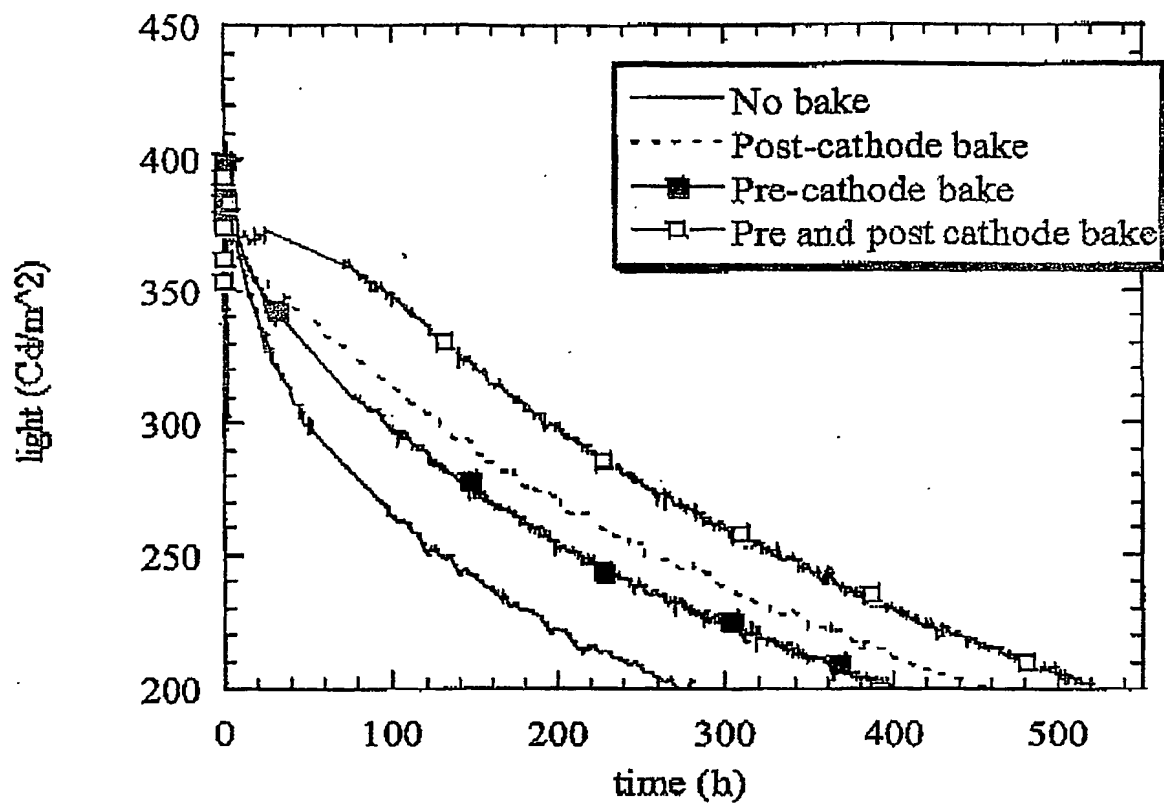


Figure 2



DUPLICATE

Optical device

Field of the Invention

This invention relates to organic optical devices comprising a layer of heat treated organic material and methods for the production thereof.

Background of the Invention

Electroactive organic materials are now frequently used in a number of optical devices such as in organic light emitting diodes ("OLEDs") as disclosed in WO 90/13148 (wherein the active organic material is a polymer), US 4539507 (wherein the active organic material is of the type known as "small molecule"), photovoltaic devices as disclosed in WO 96/16449 and photodetectors as disclosed in US 5523555.

Formation of an OLED, or indeed the other aforementioned devices, is by deposition, in sequence, of an anode, an organic electroluminescent layer and a cathode onto a substrate. In operation, holes are injected into the device through the anode and electrons are injected into the device through the cathode. The holes and electrons combine in the organic electroluminescent layer to form an exciton which then emits light by radiative decay.

Further moieties may be provided, e.g. to assist in transport of holes or electrons.

In a typical polymer light emitting device ("PLED"), the electroluminescent polymer is soluble in common organic solvents and the polymer is deposited by any one of a number of known solution deposition methods including spin-coating, inkjet printing as disclosed in EP 0880303, flexographic printing, screen printing and doctor blade coating.

In the case of a typical small molecule light emitting device, the electroluminescent material is deposited by evaporation.

Another method of deposition, applicable to small molecules and polymers, is laser transfer as described in EP 0851714.

Both polymer and small molecule OLEDs are commonly provided with further organic materials in addition to the electroluminescent material, in particular hole transporting and / or electron transporting materials. For example, WO 99/48160 discloses a blend of an electroluminescent polymer with one or both of an electron transporting polymer and a hole transporting polymer. Similarly, small molecule OLEDs commonly comprise three distinct layers, with a layer of

electroluminescent material located between a hole transporting material and an electron transporting material.

The physical properties of a charge transporting or electroluminescent layer such as its morphology, or phase separation in the case of a blend, will depend in part on its deposition conditions. It has been postulated that modification these properties by heat treatment of the layer may in turn affect device performance. For example, polymer chains may relax and take on a new conformation at temperatures above the glass transition temperature (T_g) of that polymer.

There exists a sizeable body of work on the effect that heat treatment of the electroluminescent material of an OLED has on device performance. This includes the following:

J. Appl. Phys. 91(3), 2002, 1595-1600 discloses heat treatment of poly(2-methoxy-5-(2'-ethyl-hexyloxy)-1,4-phenylene vinylene (MEH-PPV) prior to deposition of the cathode (hereinafter referred to as pre-cathode heating). Annealing below T_g is reported to improve electroluminescent efficiency of a single layer device; annealing above T_g is reported to improve hole injection efficiency.

Synth. Met. 117 (2001) 249-251 discloses heat treatment of MEH-PPV above the T_g of the polymer either before or after deposition of the cathode. The most significant improvements are reported to be a fall in operating voltage and increase in quantum efficiency upon heat treatment following cathode deposition (hereinafter referred to as post-cathode heating).

Adv. Mater. 2000, 12(11), 801-804 discloses pre-cathode heating of MEH-PPV above or below T_g and / or post-cathode heating above T_g . The most efficient device is reported to be that undergoing post-cathode heating only. Similarly, Appl. Phys. Lett. 77(21), 2000, 3334-3336 discloses pre-cathode heating below T_g and post-cathode heating above T_g , however the pre-cathode heating in this case is taught to be only for the purpose of removing residual solvent.

Appl. Phys. Lett. 80(3), 2002, 392-394 discloses post-cathode heating of a polythiophene derivative above or below T_g . Device performance improvements are reported at temperatures above and below T_g .

Appl. Phys. Lett. 81(4), 2002, 634-636 discloses post-cathode heating of a copolyfluorene. Improved device performance is reported at temperatures below T_g .

JP 2000-311784 discloses heat treatment of a small molecule below T_g either after or at the time of small molecule film formation.

Improvements in the efficiency of photovoltaic devices by heat treatment are disclosed in J. Appl. Phys. 88(12), 2000, 7120-7123 and in Solar Energy Materials and Solar Cells, 61, 2000, 53-61.

The aforementioned art discloses various heat treatments either before or after cathode deposition and at temperatures above or below T_g . These disclosures teach improvements in device performance such as quantum efficiency, turn on voltage and brightness. However, perhaps the most significant shortcoming of current OLED displays is the relatively short lifetime of blue emissive materials known to date (by "lifetime" is meant the time taken for the brightness of the device to decay to half its original brightness at a fixed current). Significant effort has been devoted to improvement of blue lifetime by development of novel blue electroluminescent materials, device architectures and processes.

It is therefore a purpose of the invention to improve the lifetime of organic semiconducting materials, in particular blue electroluminescent materials.

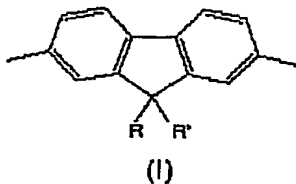
Summary of the Invention

In a first aspect, the invention provides a method of forming an optical device comprising the steps of:

- providing a substrate carrying a first electrode capable of injecting or accepting charge carriers of a first type;
- depositing a polyfluorene over the first electrode; and
- forming over the polyfluorene a second electrode capable of injecting or accepting charge carriers of a second type

wherein the polyfluorene is heated before and after forming the second electrode.

Preferably, the polyfluorene comprise optionally substituted units of formula (I):



wherein R and R' are independently selected from hydrogen or optionally substituted alkyl, alkoxy, aryl, arylalkyl, heteroaryl and heteroarylalkyl, and R and R' may be combined to form an optionally substituted monocyclic or polycyclic group.

Preferably, at least one of R and R' comprises an optionally substituted phenyl or C₄-C₂₀ alkyl group.

Preferably, at least one of the heat treatment steps is at or below the glass transition temperature of the polyfluorene.

Preferably, both of the heat treatment steps are at or below the glass transition temperature of the polyfluorene.

Preferably, the optical device is an electroluminescent device.

Preferably, the first electrode is an anode and the second electrode is a cathode. Preferably, the cathode comprises a metal having a workfunction of less than 3.5 eV. More preferably, the cathode comprises a layer of calcium.

Preferably, a layer of dielectric material is located between the polyfluorene and the cathode. Preferably, the layer of dielectric material comprises a metal fluoride.

A method according to any preceding claim wherein a layer of conductive organic material is provided between the first electrode and the first layer. Preferably, the layer of conductive organic material is PEDT / PSS.

Preferably, the polyfluorene comprises a plurality of regions including at least two of a hole transporting region, an electron transporting region and an emissive region.

Preferably, the polyfluorene comprises a hole transporting region, an electron transporting region and an emissive region.

Preferably, the polyfluorene is a blue electroluminescent material.

In a second aspect, the invention provides a method of forming an optical device comprising the steps of:

- providing a substrate carrying a first electrode capable of injecting or accepting charge carriers of a first type;
- depositing an organic semiconductor over the first electrode; and

- forming over the organic semiconductor a second electrode capable of injecting or accepting charge carriers of a second type

wherein the organic semiconductor is heated below its glass transition temperature before and after forming the second electrode.

By "blue electroluminescent material" is meant an organic material that by electroluminescence emits radiation having a wavelength in the range of 400-500 nm, more preferably 430-500 nm.

The inventors have surprisingly found that the lifetime of a polyfluorene, in particular a blue electroluminescent polyfluorene, may be improved by a combination of pre- and post-cathode heat treatment. This combination has been found to lead to a greater increase in lifetime than only one of either pre- or post-cathode heat treatment.

It has been found that the temperature of the heat treatment, in particular heat treatment above or below T_g , has little or no effect on lifetime. However, higher efficiency is maintained at temperatures around or below the T_g of the electroluminescent material.

Brief Description of the Drawings

The present invention will now be described in further detail, by way of example only, with reference to the accompanying drawings in which:

FIGURE 1 shows a PLED or photovoltaic device prepared according to the method of the invention

FIGURE 2 shows a graph of luminance vs. time of a PLED according to the invention relative to devices not heated or subjected to only one of pre- or post-cathode heating.

Detailed Description of the Invention

With reference to figure 1, a PLED or photovoltaic device prepared according to the method of the invention comprises a substrate 1, an anode 2 of indium tin oxide, a layer 3 of organic hole transport material, a layer 4 of organic semiconducting material or materials, an electron transporting layer 5 and a cathode 6.

Optical devices tend to be sensitive to moisture and oxygen. Accordingly, the substrate 1 preferably has good barrier properties for prevention of ingress of moisture and oxygen into the device. The substrate is commonly glass, however alternative substrates may be used, in particular where flexibility of the device is desirable. For example, the substrate may comprise a

plastic as in US 6268895 which discloses a substrate of alternating plastic and barrier layers or a laminate of thin glass and plastic as disclosed in EP 0949850.

Although not essential, the presence of layer 3 of organic hole injection material is desirable as it assists hole injection from the anode into the layer or layers of semiconducting polymer. Examples of organic hole injection materials include polyethylenedioxythiophene / polystyrene sulfonate (PEDT / PSS) as disclosed in EP 0901176 and EP 0947123, or polyaniline as disclosed in US 5723873 and US 5798170.

Cathode 6 is selected in order that electrons are efficiently injected into the device and as such may comprise a single conductive material such as a layer of aluminium. Alternatively, it may comprise a plurality of metals, for example a bilayer of calcium and aluminium as disclosed in WO 98/10621. A thin layer of dielectric material 5 such as lithium fluoride may be provided to assist electron injection as disclosed in, for example, WO 00/48258.

The device is preferably encapsulated with an encapsulant (not shown) to prevent ingress of moisture and oxygen. Suitable encapsulants include a sheet of glass, films having suitable barrier properties such as alternating stacks of polymer and dielectric as disclosed in, for example, WO 01/81649 or an airtight container as disclosed in, for example, WO 01/19142.

In a practical device, at least one of the electrodes is semi-transparent in order that light may be absorbed (in the case of a photoresponsive device) or emitted (in the case of an OLED). Where the anode 1 is transparent, it typically comprises indium tin oxide. Examples of transparent cathodes are disclosed in, for example, GB 2348316.

The organic semiconducting material or materials comprising layer 4 may be polymers or small molecules. Examples of suitable semiconducting polymers are disclosed in Adv. Mater. 2000 12(23) 1737-1750 and references therein. A single polymer or a polymer blend may be deposited from solution to form layer 4. Where a plurality of polymers are deposited, they preferably comprise a blend of at least two of a hole transporting polymer, an electron transporting polymer and, where the device is a PLED, an emissive polymer as disclosed in WO 99/48160. Alternatively, layer 5 may be formed from a single second semiconducting polymer that comprises regions selected from two or more of hole transporting regions, electron transporting regions and emissive regions as disclosed in, for example, WO 00/55927 and US 6353083. Each of the functions of hole transport, electron transport and emission may be provided by separate polymers or separate regions of a single polymer. Alternatively, more than one function may be performed by a single region or polymer. In particular, a single polymer or region may be capable of both charge transport and emission. Each region may

comprise a single repeat unit, e.g. a triarylamine repeat unit may be a hole transporting region. Alternatively, each region may be a chain of repeat units, such as a chain of polyfluorene units as an electron transporting region. The different regions within such a polymer may be provided along the polymer backbone, as per US 6353083, or as groups pendant from the polymer backbone as per WO 01/62869.

In addition to layer 4, the optical device may optionally comprise further layers of organic semiconducting material. In particular, a plurality of layers of organic semiconducting materials may be provided as an alternative to a blend of those materials.

The organic semiconductor of layer 4 is preferably a polyfluorene. Examples of suitable fluorene repeat units for a polyfluorene include 2,7-linked 9,9 dialkyl fluorenes, 2,7-linked 9,9 diaryl fluorenes, 2,7-linked 9,9 spirofluorenes (as disclosed in EP 0707020) and indenofluorenes (as disclosed in Adv. Mater. (2001), 13(14), 1096-1099).

The heat treatment of the optical device is preferably at temperatures up to and including the T_g of the organic semiconducting material. Practically, the lowest temperature for the heat treatment is around 60-70°C. The heat treatment may last from around 2 minutes up to 12 hours, preferably around 10 minutes up to 1 hour. The length of time of the heat treatment depends in part on the temperature – e.g. where heat treatment is at or around the T_g of the organic semiconducting material, the time for the heat treatment is reduced accordingly. In addition, the efficiency of heat transfer from the heat source (e.g. a hotplate or an oven) to the optical device should be taken into account in determining this length of time. Heat treatment should take place in an inert environment, such as a nitrogen atmosphere, due to the susceptibility of organic semiconducting materials, and many cathodes, to degradation in air.

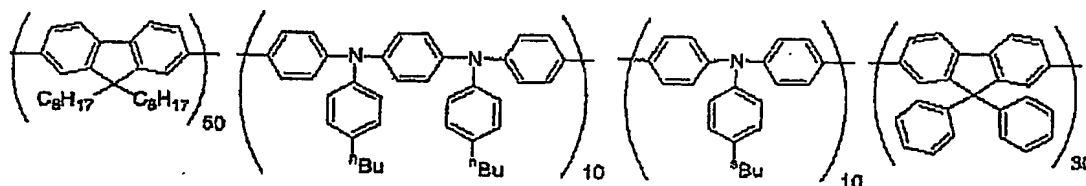
The optical device prepared according to the method of the invention is preferably a PLED when the first and second electrodes inject charge carriers. In this case, layer 4 is a light emitting layer.

The optical device is preferably a photovoltaic device or photodetector when the first and second electrodes accept charge carriers. In this case, the second layer preferably comprises a polymer or polymers capable of hole and electron transport.

Example 1

A blue electroluminescent polymer was prepared in accordance with the process of WO 00/53656 by reaction of 9,9-di-n-octylfluorene-2,7-di (ethylenylboronate) (0.5 equivalents), 2,7-

dibromo-9,9-diphenylfluorene (0.3 equivalents), N,N-di(4-bromophenyl)-sec-butylphenylamine (0.1 equivalents) and N,N'-di(4-bromophenyl)-N,N'-di(4-n-butylphenyl)-1,4-diaminobenzene (0.1 equivalents) to give polymer P1:



P1

Polymer P1 has a Tg of 140°C.

Onto a substrate of glass carrying an anode of indium tin oxide (available from Applied Films of Colorado, USA) was deposited a solution of PEDT / PSS (available from H C Starck of Leverkusen, Germany as Baytron P) by spin coating. The PEDT / PSS film was then heated to remove solvent.

Onto the PEDT / PSS was deposited by spin-coating a film of polymer P1. The polymer was heated by placing the substrate on a hotplate at 90°C for 1 hour in an inert atmosphere.

Onto the film of polymer P1 was deposited by evaporation a layer of lithium fluoride followed by a cathode comprising a first layer of calcium and a second layer of aluminium as described in WO 00/48258.

Following cathode deposition, the polymer was heated by placing the substrate on a hotplate at 90°C for 1 hour in an inert atmosphere.

The device was encapsulated using an airtight metal container available from SAES Getters SpA of Milan, Italy.

Comparative Example 1

A device was prepared in accordance with example 1 except that the device was not heated.

Comparative Example 2

A device was prepared in accordance with example 1 except that the device was only subjected to pre-cathode heating.

Comparative Example 3

A device was prepared in accordance with example 1 except that the device was only subjected to post-cathode heating.

As can be seen from Figure 2, the lifetime of the device according to the invention was improved relative to any of the devices treated in accordance with the comparative examples.

Lifetime of devices heat treated (a) below and (b) in excess of T_g of P1 was not found to be significantly different, however the efficiency of the device heated in excess of T_g of P1 was found to be significantly lower. There does not appear to be a significant correlation between lifetime and efficiency of devices prepared by the method of the invention.

Although the present invention has been described in terms of specific exemplary embodiments, it will be appreciated that various modifications, alterations and / or combinations of features disclosed herein will be apparent to those skilled in the art without departing from the spirit and scope of the invention as set forth in the following claims.

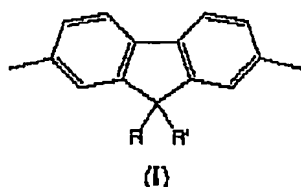
Claims

1. A method of forming an optical device comprising the steps of:

- providing a substrate carrying a first electrode capable of injecting or accepting charge carriers of a first type;
- depositing a polyfluorene over the first electrode; and
- forming over the polyfluorene a second electrode capable of injecting or accepting charge carriers of a second type

wherein the polyfluorene is heated before and after forming the second electrode.

2. A method according to claim 1 wherein the polyfluorene comprise optionally substituted units of formula (I):



wherein R and R' are independently selected from hydrogen or optionally substituted alkyl, alkoxy, aryl, arylalkyl, heteroaryl and heteroarylalkyl, and R and R' may be combined to form an optionally substituted monocyclic or polycyclic group.

3. A method according to any preceding claim wherein at least one of R and R' comprises an optionally substituted phenyl or C₄-C₂₀ alkyl group.
4. A method according to any preceding claim wherein at least one of the heat treatment steps is at or below the glass transition temperature of the polyfluorene.
5. A method according to claim 4 wherein both of the heat treatment steps are at or below the glass transition temperature of the polyfluorene.
6. A method according to any preceding claim wherein the optical device is an electroluminescent device.
7. A method according to claim 6 wherein the first electrode is an anode and the second electrode is a cathode.

8. A method according to claim 7 wherein the cathode comprises a metal having a workfunction of less than 3.5 eV.
9. A method according to claim 8 wherein the cathode comprises a layer of calcium.
10. A method according to any one of claims 7-9 wherein a layer of dielectric material is located between the polyfluorene and the cathode.
11. A method according to claim 10 wherein the layer of dielectric material comprises a metal fluoride.
12. A method according to any preceding claim wherein a layer of conductive organic material is provided between the first electrode and the first layer.
13. A method according to claim 7 wherein the layer of conductive organic material is PEDT / PSS.
14. A method according to any preceding claim wherein the polyfluorene comprises a plurality of regions including at least two of a hole transporting region, an electron transporting region and an emissive region.
15. A method according to claim 9 wherein polyfluorene comprises a hole transporting region, an electron transporting region and an emissive region.
16. A method according to any preceding claim wherein the polyfluorene is a blue electroluminescent material.
17. A method of forming an optical device comprising the steps of:
 - providing a substrate carrying a first electrode capable of injecting or accepting charge carriers of a first type;
 - depositing an organic semiconductor over the first electrode; and
 - forming over the organic semiconducting material a second electrode capable of injecting or accepting charge carriers of a second typewherein the organic semiconductor is heated below its glass transition temperature before and after forming the second electrode.
18. A method according to claim 17 wherein the organic semiconductor is a polymer.

19. A method according to claim 18 wherein the organic semiconductor is a polyfluorene.

20. A method according to claim 17 or 18 wherein the optical device is an electroluminescent device.

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.